**BBM-115US** 



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

10/615,196

Applicant:

Brian E. Dalton

Filed:

July 7, 2003

Title:

SPINAL STABILIZATION IMPLANT AND METHOD OF APPLICATION

T.C./A.U.:

3731

Examiner:

Daniel J. Davis

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form is not required to be enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

BBM-115US PATENT

This Information Disclosure Statement is being filed concurrently with a Request for Continued Examination under 37 CFR 1.114.

Respectfully submitted,

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Enclosure: PTO Form 1449

Dated: March 8, 2005

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PTO/SB/08a (08-03) (AW 10/2003)
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Substitute for Form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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(Use as many sheets as necessary)

Complete if Known				
Application Number	10/615,196			
Filing Date	July 7, 2003			
First Named Inventor	Brian E. Dalton			
Art Unit	3731			
Examiner Name	Daniel J. Davis			
Attorney Docket No.	BBM-115US			

		SHEET	1 of 2 Attorne	ey Docket No.	BBM-115US	
			U.S. PATENT D	OCUMENTS		
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	1	of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
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	6	US-5,147,363	09-15-1992	Härle	1•	
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Complete if Known Substitute for Form 1449A/PTO Application Number 10/615,196 INFORMATION DISCLOSURE Filing Date July 7, 2003 STATEMENT BY APPLICANT Brian E. Dalton First Named Inventor (Use as many sheets as necessary) Art Unit Daniel J. Davis Examiner Name Attorney Docket No. BBM-115US SHEET 2 of 2

		SHEET 2	·	ey Docket No. BBM-115US	
	<b>,</b>		U.S. PATENT D	OCUMENTS	
		Document Number			Pages, Columns, Lines, Where
Examiner Initials*	Cite No.1	Number - Kind Code <sup>2 (If known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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Examiner Signature				Date Considere	d

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional).
<sup>2</sup>See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

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<sup>&</sup>lt;sup>6</sup>Applicant is to place a check mark here if English language translation is attached.